



Surface Transportation Board
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Re: Docket No. FD 36500 Canadian Pacific Railway Limited—Control—Kansas City Southern

For discussion purposes at the technical conference held May 8, 2023, the Board's Office of Economics provided Canadian Pacific Kansas City Limited with the attached preliminary electronic template files as resources to be used for the lookback period and oversight period monthly gateway conditions, customer experience, and operational data submissions required by the STB's March 15, 2023 decision (see Appendix B). In addition, CPKC was provided the attached preliminary data dictionary describing expected values and data types and the following technical instructions for submitting its reports:

Gateway Conditions Data: Each month, beginning **MONTH DATE**, 2023 and extending for seven years, reporting entities must submit to the STB via e-filing the comma-separated values file (CSV) in the Unicode Transformation Format – 8-bit (UTF-8) encoding format. Each CSV file will contain the metrics referred to in the March 15, 2023 decision. For each of the requirements, the reporting period is defined as 12:01 a.m. on the first day of the month to 11:59 p.m. on the last day of the month. The first file submitted should include the five years of lookback data from the effective date of the merger as well as the current month of data. All subsequent files should include only the most recent month of data.

Customer Experience Data: Each month, beginning **MONTH DATE**, 2023 and extending for seven years, reporting entities must submit to the STB via e-filing the CSV file in the UTF-8 encoding format. Each CSV file will contain the metrics referred to in the March 15, 2023 decision. For weekly data, the reporting period is defined as 12:01 a.m. Saturday to 11:59 p.m. Friday. For monthly data, the reporting period is defined as 12:01 a.m. on the first day of the month to 11:59 p.m. on the last day of the month and should be reported once per month. The first file submitted should include the five years of lookback data as well as the current month of data. All subsequent files should include only the most recent month of data.

Operational Data: Each month, beginning **MONTH DATE**, 2023 and extending for seven years, reporting entities must submit to the STB via e-filing the CSV file in the UTF-8 encoding format. Each CSV file will contain the metrics identified in the March 15, 2023 decision. For weekly data, the reporting period is defined as 12:01 a.m. Saturday to 11:59 p.m. Friday. The first file submitted should include the five years of lookback data as well as the current month of data. All subsequent files should include only the most recent month of data.

Reporting Criteria for the CSV Format

1. Column headers and data in the CSV file must be directly mapped and aligned with the data dictionary provided.
2. If data submission is incomplete or appears anomalous, STB will correspond with

CPKC's point of contact to identify issues and improve the submission.

3. File names must follow the naming convention:

- a. **For gateway conditions data:**
STB_FD_36500_GATEWAY_YEAR_MO_YYYYMMDDHHMM.csv
- b. **For customer experience data:**
STB_FD_36500_CUSTOMER_YEAR_MO_YYYYMMDDHHMM.csv
- c. **For operational data:**
STB_FD_36500_OPERATIONAL_YEAR_MO_YYYYMMDDHHMM.csv

File naming convention: YEAR is the year of the reporting date, MO is the two-digit month of the reporting month, and YYYYMMDDHHMM is the approximate timestamp of data submission to STB (YYYYMMDD is the date format for year, month, day and HHMM is the military time (2400)). If CPKC needs to submit a replacement CSV file upload on the same day as an initial submission, the second file must have an updated HHMM according to the file name convention.

Attachments (4)